

# Finding Resolution in unexpected places : Girard's formula in non-logical settings

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## An relevant quote ...

*“A PhD thesis is not a life sentence” — Samson Abramsky*

... explained to mean that, simply because you did your PhD in a particular area, you are not constrained to work in that field forever!

### What may, nevertheless, happen :

- tools developed in one field are frequently useful elsewhere.
- particularly significant structures turn up unexpectedly in seemingly unrelated fields.

When we see the same structures in distinct fields,  
it is natural to wonder why!

# A personal viewpoint

My own thesis:

The algebra of self-similarity & its applications (1997 - 98)

was an algebraic / categorical analysis of J.-Y. Girard's first three Geometry of Interaction papers.

## Today's talk :

- 1 Assumes no particular background, beyond simple category theory,
- 2 **is definitely not about (linear) logic /  $\lambda$  calculus / GoI,**
- 3 focuses on where & why similar structures occur in other fields.

# A puzzle ...

*Why should formulæ introduced to model :*

Cut-elimination in Linear Logic (J.-Y. Girard 1994)

**Partial isometries on real Hilbert spaces**

*also have appeared as models of*

Transitions of two-way automata (J.-C. Birget 1989)

**Relations on finite sets**

*or indeed*

Dynamics of space-bounded Turing machines (PMH 2003)

**partial injections, partial functions, relations**

*never mind*

Circuits for quantum computers (PMH 2012)

**Unitary maps on complex Hilbert spaces**

# Some basic structures

The Gol papers were based on bounded linear maps (partial isometries) on separable Hilbert spaces.

*Implicitly, working within the category **Hilb**.*

As observed by *many people* ...

All the action takes place within the image of M. Barr's  
 $l_2 : \mathbf{plnj} \rightarrow \mathbf{Hilb}$  functor,

A faithful contravariant functor

- from the category **plnj** of partial injections on sets,
- to the category **Hilb** of Hilbert spaces & bounded linear maps.

# A common claim

It was often claimed that it is easier / simpler to work with partial injections.

The linear-algebraic setting was sometimes referred to as, 'useless superstructure'.

## A contrasting view

**Claim :** At least for some applications, this “additional structure” is absolutely essential.

# About the category **plnj** ..

Objects are all sets, arrows are **partial injections**.

## Partial injections :

- A **partial injection**  $f : X \rightarrow Y$  is a partial function that is bijective when restricted to its domain / image.
- Composition is inherited from *partial functions*, and by extension, from the category **Rel** of relations.

**plnj** is an *Inverse Category* :

Every arrow  $a : X \rightarrow Y$  has a *unique* generalised inverse  $a^\ddagger : Y \rightarrow X$  satisfying  $aa^\ddagger a = a$  and  $a^\ddagger aa^\ddagger = a^\ddagger$ .

# Partial injections as an inverse category

A couple of key points :

- 1 The generalised inverse is a categorical dual :

$$(ab)^\dagger = b^\dagger a^\dagger \quad , \quad X^\dagger = X \quad , \quad ((\ )^\dagger)^\dagger = Id$$

- 2 Uniqueness is very powerful. It implies

- All idempotents commute

$$e^2 = e \text{ and } f^2 = f \Rightarrow ef = fe$$

- Idempotents 'pass through arrows'. For all  $e^2 = e : X \rightarrow X$  and  $a : X \rightarrow Y$

$$ae = fa \text{ for some unique } f^2 = f$$



# Partial injections as the inverse category

It is the *canonical* inverse category

there is a faithful functor from any inverse category to **plnj**.

- Proved for inverse monoids in 1952-4 : The Wagner – Preston representation theorem for inverse monoids, generalising Cayley's group representation theorem.
- Proved for inverse categories in J. Kastl (1979)
  - also proved independently by R. Cockett (2002), and C. Heunen (2014)

# About Barr's functor ...

M. Barr, Algebraically Compact Functors (1992)

A faithful functor  $l_2 : \mathbf{plnj} \rightarrow \mathbf{Hilb}$ .

In the countable setting, it is convenient to work with a *covariant, basis-dependent* version, given by :

**Objects**  $l_2(X)$  is the Hilbert space with orthonormal basis  $\{|x\rangle\}_{x \in X}$

**Arrows** Given  $f \in \mathbf{plnj}(X, Y)$ ,

$$l_2(f)(|x\rangle) = \begin{cases} |f(x)\rangle & x \in \text{dom}(f) \\ 0 & \text{otherwise.} \end{cases}$$

**Scalar field?** Barr is *agnostic* about this!

# About Barr's functor ...

M. Barr, Algebraically Compact Functors (1992)

<b>plnj</b>	<b>Hilb</b>
Countable Sets	separable Hilbert Spaces
Partial Injections	Partial Isometries
Inclusion Ordering	Halmos - McLaughlin ordering
Bijections	Unitaries
Disjoint union $- \uplus -$	Direct sum $- \oplus -$
Cartesian product $- \times -$	Tensor product $- \otimes -$
Unions of (disjoint) sets $\bigvee_{j \in J} ( )$	Sums of linear maps $\sum_{j \in J} ( )$
<b>The categorical trace</b>	<b>The Resolution formula</b>

# Categorical traces (I)

*“Traced Monoidal Categories”* — A. Joyal, R. Street, D. Verity (1996)

In a symmetric monoidal category  $(\mathcal{C}, - \otimes -)$ , a trace is an object-indexed mapping of hom-sets

$$\left\{ \text{Tr}_{X,Y}^U : \mathcal{C}(X \otimes U, Y \otimes U) \rightarrow \mathcal{C}(X, Y) \right\}_{X,Y,U \in \text{Ob}(\mathcal{C})}$$

that is natural in  $X$ ,  $Y$ , and dinatural in  $U$ .

It has the intuition of, “eliminating the behaviour of an arrow at an object”.

# Categorical traces (II)

As well as (di-)naturality, we have :

Vanishing I  $Tr_{\rightarrow, -}^I(f) = f$

Superposing Given  $f : X \otimes U \rightarrow Y \otimes U$  and  $g : A \rightarrow B$

$$Tr_{\rightarrow, -}^U(f \otimes g) = Tr^U(f) \otimes g$$

Vanishing II Given  $f : X \otimes P \otimes Q \rightarrow Y \otimes P \otimes Q$ ,

$$Tr^{P \otimes Q}(f) = Tr^Q(Tr^P(f)) = Tr^P(Tr^Q(f))$$

– also known as the **confluence** axiom –

# A brief categorical logic interlude ... models of untyped systems.

– not relevant for the rest of the talk!

# A well-known application of traces ...

The construction of a **compact closed** category from a *traced monoidal* category.

- The **Int** construction of Joyal, Street & Verity (1996)
- The **Gol** construction of S. Abramsky (1996)

– Equivalent, but not identical.

Their correspondence given by E. Haghverdi (2000)

# Compact closed categories

A **compact closed category** is a symmetric monoidal category  $(\mathcal{C}, - \otimes -, I)$  with a dual

$$(-)^* : \mathcal{C}^{op} \rightarrow \mathcal{C}$$

and distinguished arrows for every object  $A \in \text{Ob}(\mathcal{C})$

- The unit arrow  $\epsilon_A : A \otimes A^* \rightarrow I$
- The counit arrow  $\eta_A : I \rightarrow A^* \otimes A$

that satisfy

$$(\epsilon_A \otimes 1_A)(1_A \otimes \eta_A) = 1_A \quad \text{and dually,} \quad (1_{A^*} \otimes \epsilon_A)(\eta_A \otimes 1_{A^*}) = 1_{A^*}$$

The diagram shows an equality between two expressions. On the left, a composition of arrows is shown: a top horizontal arrow from  $A$  to  $A$ , a rightward-curving arrow from  $A$  to a junction point, a leftward-curving arrow from a junction point to  $A^*$ , a bottom horizontal arrow from  $I$  to a junction point, and a rightward-curving arrow from  $A$  to a final junction point. The final junction point has an arrow pointing to  $I$ . On the right, a single horizontal arrow labeled  $id_A$  goes from  $A$  to  $A$ . The two expressions are separated by an equals sign.



# From categories to monoids

The best-known models of untyped systems :

J. Lambek & P. Scott (1986) modeled untyped  $\lambda$ -calculus using **C-monoids** :

Single-object categories that satisfy

*“All the axioms for a Cartesian closed category, except for the terminal object”*

In GOI(I), Girard comments that his system ‘forgets types’.

**Q :** Can we do similar, for *compact* rather than *Cartesian* closure?

**A :** Yes, and it is much simpler than constructing C-monoids!

# Short steps to untyped closure

## Step (I)

In a compact closed category  $\mathcal{C}$ ,  $-\otimes-$ , the internal hom is given by

$$[A \rightarrow B] \cong A^* \otimes B$$

As a corollary : for any object  $A \in \text{Ob}(\mathcal{C})$  The full monoidal subcategory generated by  $\{A, A^*\}$  is compact closed.

# Short steps to untyped closure

## Step (II)

In the special case where  $A$  is a self-dual object,  $A \cong A^*$ , the full monoidal subcategory generated by  $\{A\}$  is compact closed.

We then have a monogenic compact closed category.

# Short steps to untyped closure

## Step (III)

Now assume  $A$  is also **self-similar** — there exist Hilbert-hotel style bijections

$$\begin{array}{ccc} A & \xrightarrow{1_A} & A \\ d \downarrow & & \uparrow c \\ A \otimes A & \xrightarrow{1_{A \otimes A}} & A \otimes A \end{array}$$

All objects of the compact closed subcategory generated by  $\{A\}$  are isomorphic (apart from the unit object ...).

In particular, we have  $A \cong [A \rightarrow A]$ .

# Some historical context

In (PMH 97-98) and (PMH 2000) this property was used to claim that :

*The endomorphism monoid of a self-dual, self-similar object in a compact closed category is a 'compact closed monoid'.*

This was based on an axiomatisation of compact closure that

- 1 didn't mention the unit object
  - 2 reduced to the usual definition in the presence of a unit.
- compact closure in semi-monoidal categories.

# A problem & a solution

## The problem :

There are different, strictly inequivalent, axiomatisations of compact closure that

- 1 don't mention the unit object
- 2 reduce to the usual definition in the presence of a unit.

## The solution :

A coherence theorem / strictification procedure that gives an *equivalence of categories* between semi-monoidal *monoids* and more general semi-monoidal *categories*.

“Coherence & Strictification for Self-Similarity” — Journal of Homotopy & Related Structures (PMH 2017)

# End of the categorical logic interlude ...

– back to traces & resolution!

# A motivating example

Joyal, Street, & Verity gave, as a motivating example, the category  $(\mathbf{Rel}, \_ \uplus \_)$  of relations, with disjoint union :

**Objects** All sets

**Arrows** Relations between sets

**Composition** The usual relational composition

**Monoidal tensor** Disjoint union  $X \uplus Y = X \times \{0\} \cup Y \times \{1\}$



# A matrix calculus for relations

A relation  $\rho : P \uplus Q \rightarrow R \uplus S$  may be given in matrix form.

$$\rho = \begin{pmatrix} a & b \\ c & d \end{pmatrix} : P \uplus Q \rightarrow R \uplus S$$

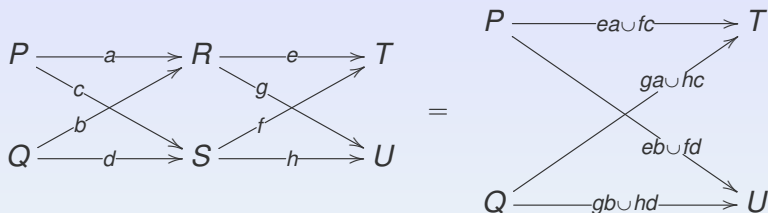
simply by taking projections

$$a : P \rightarrow R \quad b : Q \rightarrow R$$

$$c : P \rightarrow S \quad d : Q \rightarrow S$$

# Composing matrices of relations

Composition is given by the usual 'summing over paths':



with *addition* replaced by *union*.

$$\begin{pmatrix} e & f \\ g & h \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} ea \cup fc & eb \cup fd \\ ga \cup hc & gb \cup hd \end{pmatrix}$$

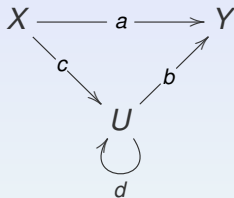
# The (particle-style) trace of a relation

$$\text{Given } \eta = \begin{pmatrix} a & b \\ c & d \end{pmatrix} : X \uplus U \rightarrow Y \uplus U$$

The **trace**

$$\text{Tr}_{X,Y}^U(\eta) : X \rightarrow Y$$

is another 'summing over paths' construction.



As an explicit formula:

$$\text{Tr}_{X,Y}^U(\eta) = a \cup \bigcup_{j=0}^{\infty} bd^j c$$

# Interesting traced subcategories :

Several interesting monoidal subcategories of  $(\mathbf{Rel}, \multimap)$  both :

- 1 admit a matrix calculus
- 2 are closed under this trace :
  - $(\mathbf{pInj}, \multimap)$  *partial injections*
  - $(\mathbf{pFun}, \multimap)$  *partial functions*
  - $(\mathbf{Bij}_{\text{fin}}, \multimap)$  *bijections on finite sets*
  - $(\mathbf{sRel}, \multimap)$  *stochastic relations*
  - $(\mathbf{pInv}, \multimap)$  *partial involutions*

The 'particle-style' traces

# Girard's original setting

The original Resolution formula required :

- A partial symmetry – i.e.  $\sigma : \mathbb{N} \rightarrow \mathbb{N}$  such that  $\sigma^2$  is idempotent

$$\sigma^2 = 1_Y \quad \text{for some } Y \subseteq \mathbb{N}$$

- A partial injection  $P : \mathbb{N} \rightarrow \mathbb{N}$  that “includes”  $\sigma$ .

Resolution was given by taking the trace

$$\text{Tr}^Y(P) \in \mathbf{plnj}(\mathbb{N} \setminus Y, \mathbb{N} \setminus Y)$$

up to the re-embedding  $\mathbf{plnj}(\mathbb{N} \setminus Y, \mathbb{N} \setminus Y) \hookrightarrow \mathbf{plnj}(\mathbb{N}, \mathbb{N})$ .

# A slightly more general setting

We will refer to the composite of a (particle-style) trace

$$\text{Tr}_{X,X}^U : \mathcal{C}(X \uplus U, X \uplus U) \mapsto \mathcal{C}(X, X)$$

together with a canonical inclusion

$$\mathcal{C}(X, X) \hookrightarrow \mathcal{C}(X \uplus U, X \uplus U)$$

as the **Resolution**, and write

$$\text{Res}^U : \mathcal{C}(X \uplus U, X \uplus U) \rightarrow \mathcal{C}(X \uplus U, X \uplus U)$$

## What has changed :

We have lost :

- The faithful functor  $l_2 : (\mathbf{plnj}, \uplus) \rightarrow (\mathbf{Hilb}, \oplus)$
  - The rôle of the partial symmetry  $\sigma$
- although these both re-appear in various settings!

# A precursor to the $3n + 1$ problem

It is *easy* to express hard / uncomputable problems in this setting :

## The Original Collatz Conjecture (OCC)

A (still unsolved) problem from unpublished notes (1932) of L. Collatz

$$g(n) = \begin{cases} \frac{2n}{3} & n \pmod{3} = 0 \\ \frac{4n-1}{3} & n \pmod{3} = 1 \\ \frac{4n+1}{3} & n \pmod{3} = 2 \end{cases}$$

Conjecture : “The orbit of 8 is unbounded”.

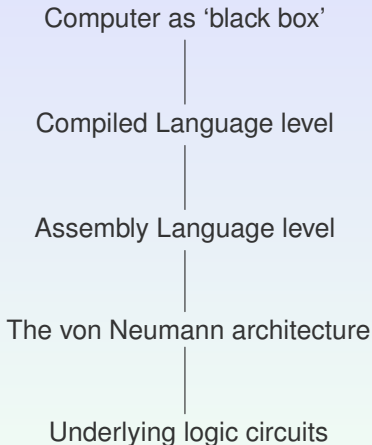
$$Res^{N \setminus \{8\}}(g) = \begin{cases} 0 & \text{O.C.C. is True} \\ I_{\{8\}} & \text{O.C.C. is False} \end{cases}$$

# A (very) general setting for Resolution



# The starting point

D. Hofstadter's book "Gödel, Escher, Bach" (1979)



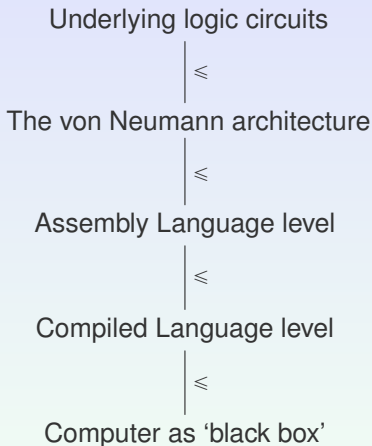
## High vs. Low level

The same computation, described at different levels of generality.

*Moving between levels was called **chunking** by D. Hofstadter ...*

# The starting point

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## Low vs. High level

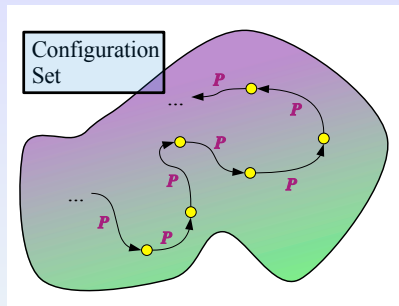
The same computation, described at different levels of generality.

*Moving between levels was called **chunking** by D. Hofstadter ...*

# Moving to Unlabeled Transition Systems

An **abstract machine**  $\mathcal{M}$  is :

- A **configuration set**  $X$ .
- The **primitive evolution**, a partial function  $\mathcal{P} : X \rightarrow X$ .



## Structural Operational Semantics – Gordon Plotkin (1981 / 2004)

- “This idea is hardly new, and examples can be found in any book on automata or formal languages.”
- “This definition is too general to produce any useful theory.”

# Partial views of dynamics

A relation between partial functions :

## The 'primitiveness' relation

For any two partial functions  $\eta, \mu : X \rightarrow X$

$$\mu < \eta \quad \text{iff} \quad \mu \subseteq \eta^+ = \bigcup_{j=1}^{\infty} \eta^j$$

Read this as ' $\mu$  is a **representation of**  $\eta$ '.

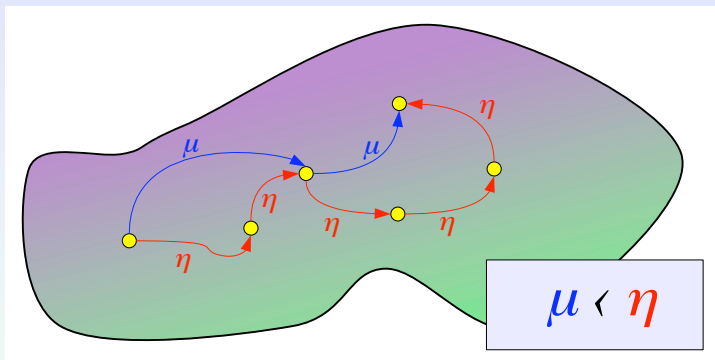
Operationally, this states that:

$$\mu(x) = y \quad \Rightarrow \quad \eta^n(x) = y \quad \text{for some } n > 0 \in \mathbb{N}$$

# Interpreting the **primitiveness** relation

## Motivation

$\eta$  is 'finer-grained', 'more complete', or lower level than  $\mu$ .



# A definition

Let  $\mathcal{M} = (X, \mathcal{P} : X \rightarrow X)$  be an abstract machine.

Its **machine semantics** is the set of all representations of  $\mathcal{P}$ .

$$[\mathcal{P}] = \{\eta : X \rightarrow X \text{ s.t. } \eta < \mathcal{P}\}$$

## The interpretation

$[\mathcal{P}]$  is the collection of *all possible* descriptions of the behavior of the machine  $\mathcal{M}$ , at different levels of generality.

# A semigroup with a (pre-)order

For a given abstract machine  $(X, \mathcal{P})$ , the machine semantics  $[\mathcal{P}]$  is a semigroup. The ‘primitiveness’ relation is a pre-order on this semigroup.

- Closure under composition is straightforward.
- The obstacle to  $<$  being a *partial order* is cyclic behaviour. In general,  $\eta < \mu$  and  $\mu < \eta$  does not imply  $\eta = \mu$ .
- The Interaction of composition with  $<$  is non-trivial.

# A special case:

An abstract machine  $\mathcal{M} = (X, \mathcal{P})$  is **cycle-free** when

$$P^N(x) \neq x \quad \forall x \in X, N > 0$$

This is implied by **nilpotency**:

$$P^K = 0_X \quad \text{for some } K \geq 0$$

and is equivalent to nilpotency when  $X$  is finite.

For a cycle-free machine:

$[\mathcal{P}], <$  is a lattice,

- The **top** element is  $\mathcal{P}$ , the *primitive evolution*,
- The **bottom** element is  $0_X$ , the *nowhere-defined function*.



# What sort of lattice is this?

## Meets of representations

Given a **finite** subset  $\{\eta_i\} \subseteq [\mathcal{P}]$ , all defined at  $x$ .

$$\eta_i(x) = \mathcal{P}^{N_i}(x) \text{ for } \underline{\text{unique}} \ N_i$$

The **meet** is defined in terms of a *least common multiple* :

$$\left( \bigwedge \eta_i \right) (x) = \mathcal{P}^{\text{lcm}(N_i)}(x)$$

As  $\{\eta_i\}$  is **finite**, this always exists.

# What sort of lattice is the machine semantics ??

## Joins of representations

Given an arbitrary subset  $\{\mu_j\} \subseteq [\mathcal{P}]$ , all defined at  $x$ .

$$\mu_j(x) = \mathcal{P}^{M_j}(x) \text{ for } \underline{\text{unique}} \ M_j$$

The **join** is defined in terms of a *greatest common divisor* :

$$\left( \bigvee \mu_j \right) (x) = \mathcal{P}^{\text{gcd}(M_j)}(x)$$

This **always exists**, for finite or infinite subsets.

The machine semantics is a **locale** or **pointless topology**,  
with an additional semigroup structure.

# The general case!

For **arbitrary** abstract machines

We define the **cycle-free semantics**

The subset  $[[\mathcal{P}]] \subseteq [\mathcal{P}]$  of all *cycle-free representations*:

$$\eta^N(x) \neq x \quad \forall N > 0$$

This need not include  $\mathcal{P}$  itself.

**As intended:**

- $[[\mathcal{P}]], <$  is a partial order, with  $0_X$  as bottom element.
- It is not, in general, a lattice or a semigroup.

# What sort of poset is $[[\mathcal{P}]]$ , $<$ ?

The correct setting is *domain theory*

Assuming a countable configuration set, we have:

- A bottom element.
- Directed-completeness.
- Every element is the supremum of a chain of elements with finite support.
- The down-closure of every element is a *locale*.

it is in fact a Scott Domain

# Chains and directed sets:

A **chain** is a totally ordered subset

$$c_0 \leq c_1 \leq c_2 \leq c_3 \leq \dots$$

**Chain-completeness:** every chain has a supremum.

A subset  $D$  is **directed**, when For all  $a, b \in D$ , there exists  $c \in D$  such that

$$a \leq c \text{ and } b \leq c$$

**Directed-completeness:** all directed sets have suprema.

## Iwamura's Lemma (1944)

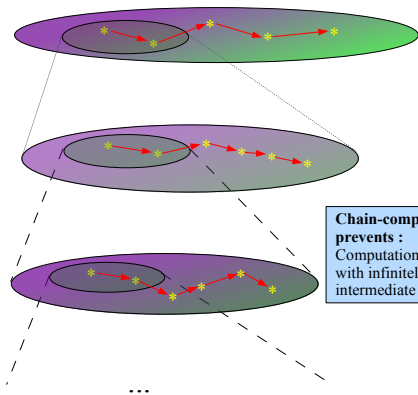
Chain-completeness is equivalent to directed-completeness.

... at least, provided we accept the Axiom of Choice.

# Chain-completeness & Zeno's paradox ?

Unbounded chains implies :

There exists some  $y \in \mathcal{P}^+(x)$ ,  
where  $\mathcal{P}^N(x) \neq y$  for  
**any** finite  $N$ .



**Chain-completeness prevents :**  
Computational paths with infinitely many intermediate points

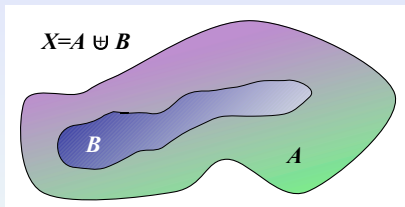
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# Finding Resolution again ..

We may treat the configuration set as  
the disjoint union of two subsets  $X = A \uplus B$  :

Every representation can be  
written as a **matrix**

$$\eta = \begin{pmatrix} \eta_{11} & \eta_{12} \\ \eta_{21} & \eta_{22} \end{pmatrix}$$



$$\eta_{11} : A \rightarrow A \quad \eta_{12} : B \rightarrow A$$

$$\eta_{21} : A \rightarrow B \quad \eta_{22} : B \rightarrow B$$

# No resolution without representation

## Some key points :

For arbitrary  $\eta : X \rightarrow X$  and  $B \subseteq X$ ,

- $Res^B(\eta) < \eta$
- $\eta$  is cycle-free  $\Rightarrow Res^B(\eta)$  is cycle-free.
- $\mu < Res^B(\eta)$  for all  $\mu < \eta$  satisfying  $\mu(B) = \emptyset$ ,

When dealing with cycle-free / nilpotent representations,  
 $Res^B(\ )$  calculates suprema.



# A more 'concrete' interpretation

When we have a distinguished subset of **start-halt** or **input-output** configurations, we may use Resolution to move

- from a step-by-step, or operational, description of dynamics,
- to a start-halt / input-output, or denotational description of dynamics

in a 'fine-grained' manner.

Particularly important :

The 'confluence' property

$$Res^U \left( Res^V(-) \right) = Res^{U \cup V}(-) = Res^V \left( Res^U(-) \right)$$

allows this to happen in a local & asynchronous manner.

# A worked example:

Eliminating the description of the behaviour everywhere, except for the start & halt states.

An example, from 'Machine Semantics' (TCS 2010)

Deriving the **start-halt behaviour** for  
space-bounded Turing machines  
from their **step-by-step behaviour**  
using the Resolution.

The resulting models were **compositional**. Behaviour on a tape of length  $r + s$  is derived from behaviour on tapes of length  $r$  and  $s$  respectively.

# Re-introducing the linear structure

Let us use Barr's  $I_2 : \mathbf{plnj} \rightarrow \mathbf{Hilb}$  to re-introduce the linear structure, with particular reference to the traced subcategory  $\mathbf{Bij}_{\text{fin}}$  of bijections on finite sets.

(Recall :  $I_2$  maps **bijections** to **unitary maps**)

The question :

Can we map

**unitary step-by-step behaviour**

to

**unitary start-halt behaviour ?**

Let us assume the underlying scalar field is the complex plane  $\mathbb{C}$ .

# A question of superposition

Given a unitary map  $U = I_2(P) : l_2(X) \rightarrow l_2(X)$ , this is linear and inner-product preserving.

$$U \left( \sum_{j \in J} \alpha_j |x_j\rangle \right) = \sum_{j \in J} \alpha_j U(|x_j\rangle)$$

Can we derive a unitary that maps “start-states” to corresponding “halt-states”,

$$\sum_{j \in J} \beta_j |Start_j\rangle \mapsto \sum_{j \in J} \beta_j |Halt_j\rangle$$

in a superposition-preserving, or ‘coherent’ manner?

# Some history from the foundations of quantum computation

## The logical reversibility of computation — Bennett (1973)

- Computation by *reversible Turing machines*.
- Dynamics were reversible *at each step*.
- Temporary ‘storage’ is returned to its original state.

An original aim was to build a unitary version of this.

(Implicitly, applying Barr's  $I_2$  functor!)

## Quantum Turing machines

- Introduced by D. Deutsch (1985).

*Quantum theory, the Church-Turing principle and the universal quantum computer*

- Defined to be *fully quantum* (i.e. coherent),  
“A superposition of start states leads to the corresponding superposition of halt states.”

**The dominant paradigm in QM computing for several years**

# Only 12 years later ...

Studied by J. Myers (1997)

*Can a Universal Computer be Fully Quantum?*

His claim:

QTMs are incapable of 'fully quantum' computations  
(even when they act classically on some fixed basis! )



# The problem with Quantum Turing machines

Consider

- A QTM has a state space, instead of a state set.
- It has unitary dynamics.
- We can also have superpositions of states ...  
including 'halting states' and non-halting states'.

Myer's question:

What happens 'after halting'?

When the QTM is in a superposition:

$$|\textit{halting state}\rangle + |\textit{non - halting state}\rangle$$

What should happen next?

# What did happen next(!)

- (1997) [Bernstein and Vazirani](#): QTMs are fully quantum when the *number of steps to halting* is independent of the input.
- (1998) [Ozawa](#): Arbitrary QTMs can be made *fully quantum*.
- (1998) [Linden & Popescu](#): “*Ozawa’s halting scheme is not fully quantum, except in the trivial case in which the computer never halts.*”
- (1998) [Ozawa](#) publishes a rebuttal of Linden & Popescu
- (1998) This is shown to be incorrect by [Kieu & Danos](#)

Every proposed solution relied on an ancillary space

In every case, this became irretrievably entangled with the output.

Why is this a problem??

# Elementary quantum information (I)

Consider a state in the space  $l_2(\{0, 1\}^{\times 5})$  (the **5-qubit** space).

$$\alpha |01001\rangle + \beta |10010\rangle \quad |\alpha|^2 + |\beta|^2 = 1$$

This is **entangled** – it cannot be factorised as the tensor product of a **4-qubit state** and a **1-qubit state**.

The crucial question :

Is there any way to derive the 4-qubit state :

$$\alpha |0100\rangle + \beta |1001\rangle \quad |\alpha|^2 + |\beta|^2 = 1$$

# Elementary quantum information (II)

What happens when we measure the **final qubit** of

$$\alpha |01001\rangle + \beta |10010\rangle \quad |\alpha|^2 + |\beta|^2 = 1$$

- We observe '1' with probability  $|\alpha|^2$ .
  - leaving the remaining state as  $|0100\rangle$
- We observe '0' with probability  $|\beta|^2$ .
  - leaving the remaining state as  $|1001\rangle$

# Elementary quantum information (II)

What happens when we -do not- measure the **final qubit** of

$$\alpha |01001\rangle + \beta |10010\rangle \quad |\alpha|^2 + |\beta|^2 = 1$$

*“We take the physical system represented by the final qubit, and ‘lock it away’ somewhere we are sure it will never be measured ...”*

This is equivalent to :

- We observe ‘1’ with probability  $|\alpha|^2$ .  
– leaving the remaining state as  $|0100\rangle$
- We observe ‘0’ with probability  $|\beta|^2$ .  
– leaving the remaining state as  $|1001\rangle$

... followed by *forgetting the value we observed.*

# Alternatively ... without entanglement

We want:

the 5-qubit state

$$\alpha |01001\rangle + \beta |10010\rangle$$

We actually have:

The 6-qubit state

$$\alpha |010011\rangle + \beta |010011\rangle$$

Not a problem:  $(\alpha |01001\rangle + \beta |01001\rangle) \otimes |1\rangle$

The desired register, is *not entangled* with the additional qubit.

We may measure (or simply ignore) the final qubit.

# A disturbingly popular non-solution

- Introduce an ancillary **clock space**, with basis

$$\{|t_0\rangle, |t_1\rangle, |t_2\rangle, |t_3\rangle, \dots\}$$

- After reaching a halt state: *'The Turing Machine computation stops, and the ancilla increments at each step'*.

## What then happens:

- State  $|start_1\rangle|0\rangle$  reaches  $|halt_1\rangle|0\rangle$  in  $T_1$  steps
- State  $|start_2\rangle|0\rangle$  reaches  $|halt_2\rangle|0\rangle$  in  $T_2 < T_1$  steps

$$|start_1\rangle|0\rangle + |start_2\rangle|0\rangle \implies |halt_1\rangle|0\rangle + |halt_2\rangle|T_2 - T_1\rangle$$

# Two relevant results:

Linden & Popescu Rephrased the problem as a *general problem* about algorithms:

*We need to restructure algorithms so that the time to halting is independent of the input. It is unknown whether this is always possible.*

Bernstein & Vazirani

*Computations that can be performed by 'properly halting QM Turing machines' are precisely those that can be performed in the circuit paradigm.*

The circuit model became the dominant paradigm in QM computing.



# Programming paradigms from the 1920s

Even when working with functions that map basis states to basis states, this is not easy to deal with.

## The programmers view ...

We don't get to use any of our favorite tools, such as *recursion*, *fixed point operations*, *the von Neumann architecture*, *loops*, *conditional iteration*, *feedback*, etc.

## What would be a useful tool :

A 'compilation' of Resolution into quantum circuits.

## An interesting Observation

Taken from :

*Categorical Traces from single-photon linear optics*

PMH, P. Scott (Clifford Lectures, P.S.A.M. 2012)

(A thought-experiment based on the  
Sagnac interferometer / ring laser gyroscope)

# The key observation

In a monoidal category with *matrix representations* of arrows:

Consider an arrow:

$$F = \begin{pmatrix} A & B \\ C & D \end{pmatrix} : U \oplus U \rightarrow U \oplus U$$

What happens when we compose the following matrices?

$$\begin{pmatrix} B & A & 0 & 0 & 0 & 0 & \dots \\ D & C & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & I & 0 & 0 & 0 & \dots \\ 0 & 0 & 0 & I & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & I & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & I & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

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What happens when we compose the following matrices?

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# Through tedious calculations !!

After  $T$  steps, we build up the *summands* of:

- The **Elgot dagger**
- The **Resolution**

$$\begin{pmatrix} B & A & 0 & 0 & 0 & \dots & 0 & 0 & 0 & \dots \\ BD & BC & A & 0 & 0 & \dots & 0 & 0 & 0 & \dots \\ BD^2 & BDC & BC & A & 0 & \dots & 0 & 0 & 0 & \dots \\ BD^3 & BD^2C & BDC & BC & A & \dots & 0 & 0 & 0 & \dots \\ BD^4 & BD^3C & BD^2C & BDC & BC & \dots & 0 & 0 & 0 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \\ BD^{T-1} & BD^{T-2}C & BD^{T-3}C & BD^{T-4}C & BD^{T-5}C & \dots & A & 0 & 0 & \dots \\ D^T & D^{T-1}C & D^{T-2}C & D^{T-3}C & D^{T-4}C & \dots & C & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & \dots & 0 & 1 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & \dots & 0 & 0 & 1 & \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \end{pmatrix}$$

Let's call this the **H-S matrix**.

# Rather conveniently :

Matrices of this form are **absolutely standard** in QM computation.

They arise via :

- simple logic gates  $NOT |0\rangle = |1\rangle$   $NOT |1\rangle = |0\rangle$
- simple arithmetic functions

$$Succ |k\rangle = |k + 1 \pmod{2^N}\rangle$$

- QM conditionals, such as 'controlled-NOT'

$$CNOT |00\rangle = |01\rangle \quad CNOT |01\rangle = |10\rangle$$

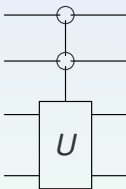
$$CNOT |10\rangle = |10\rangle \quad CNOT |11\rangle = |11\rangle$$



# Matrices for multiply-controlled gates

$$U = \begin{pmatrix} U_{00} & U_{01} \\ U_{10} & U_{11} \end{pmatrix} \quad \text{Controlled on } 00$$

**The circuit:**



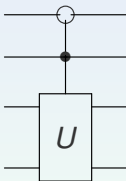
**The matrix:**

$$\begin{pmatrix} U_{00} & U_{01} & 0 & 0 & 0 & 0 & 0 & 0 \\ U_{10} & U_{11} & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

# Matrices for multiply-controlled gates

$$U = \begin{pmatrix} U_{00} & U_{01} \\ U_{10} & U_{11} \end{pmatrix} \quad \text{Controlled on } 01$$

The circuit:



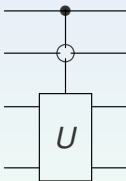
The matrix:

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & U_{00} & U_{01} & 0 & 0 & 0 & 0 \\ 0 & 0 & U_{10} & U_{11} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

# Matrices for multiply-controlled gates

$$U = \begin{pmatrix} U_{00} & U_{01} \\ U_{10} & U_{11} \end{pmatrix} \quad \text{Controlled on } 10$$

The circuit:



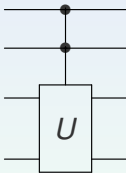
The matrix:

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & U_{00} & U_{01} & 0 & 0 \\ 0 & 0 & 0 & 0 & U_{10} & U_{11} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

# Matrices for multiply-controlled gates

$$U = \begin{pmatrix} U_{00} & U_{01} \\ U_{10} & U_{11} \end{pmatrix} \quad \text{Controlled on } 11$$

The circuit:



The matrix:

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & U_{00} & U_{01} \\ 0 & 0 & 0 & 0 & 0 & 0 & U_{10} & U_{11} \end{pmatrix}$$

## What is the action of the H-S matrix?

Consider an Abstract Machine  $(X, \mathcal{P})$ ,  
that maps a *start configuration*  $s_j \in X$  to a *halt configuration*  $h_j$ .

$$h_j = \text{Res}(\mathcal{P})(s_j) = \mathcal{P}^{T_j}(s_j)$$

Now apply the  $l_2$  functor, and work with Hilbert spaces.

The action of the H-S matrix on a *start basis vector* is

$$|n\rangle |s_j\rangle \mapsto |n + T_j\rangle |h_j\rangle$$

On a superposition of start states, we get :

$$\sum_{j \in J} |n\rangle |s_j\rangle \mapsto \sum_{j \in J} |n + T_j\rangle |h_j\rangle$$

# Just another 'clock space' solution??

Note the re-appearance of

- The infamous clock space — an ancilla with basis  $\{|0\rangle, |1\rangle, |2\rangle, \dots\}$
- Massive entanglement, for superpositions of start states!

$$\sum_{j \in J} |0\rangle |s_j\rangle \mapsto \sum_{j \in J} |T_j\rangle |h_j\rangle$$

Is this – uncontrollable – entanglement?

# Starting from a different point!

Let us take this massively entangled state

$$\sum_{j \in J} |0\rangle |s_j\rangle \mapsto \sum_{j \in J} |T_j\rangle |h_j\rangle$$

and re-run the entire procedure ...

This time, we use the abstract machine  $(X, \mathcal{P}^{-1})$  (and, if necessary, swap the rôle of start and halt states).

The action becomes :

$$\sum_{j \in J} |T_j\rangle |h_j\rangle \mapsto \sum_{j \in J} |2T_j\rangle |s_j\rangle$$

Up to a simple division by two, we have :

*“Our original superposition of start states, with each one entangled with **the number of steps a computation on it will require.**”*

# The final step

Starting from

$$\sum_{j \in J} |T_j\rangle |s_j\rangle$$

we re-run our original computation, with the clock *decreasing* instead of *increasing*<sup>1</sup>.

We arrive at

$$\sum_{j \in J} |0\rangle |h_j\rangle = |0\rangle \otimes \sum_{j \in J} |h_j\rangle$$

Leaving the superposition of halt states entirely unentangled with any ancilla.

---

<sup>1</sup>This happens by composing the matrices that make up the H-S matrix, in the opposite order.



# Missing a few details :

Full circuits given in :

Quantum Circuits for Abstract Machine Computations  
Theoretical Computer Science (PMH 2012)

Also available as `QM_circuits_for_coherent_AMs.pdf`

As a curious point :

The full circuits require — in a fundamental way — precisely the ‘partial symmetries’ we see in the original Resolution formula.

# ? *Quo Vadis* ?

- where are we going with this?
- what exactly do we have?
- what can we do with it?

# Some important points!

This is **not** a return to Deutsch's QM Turing machines!

- 1 It is about iterated computations that *act classically*  
— map basis states to basis states  
(i.e. live within the image of Barr's  $\downarrow_2$ ).
- 2 We still require an *upper bound* to the number of steps.
- 3 Even on basis vectors, non-termination, undecidability, universal computation, etc. remain *well out of reach!*

We are 'compiling' classical iterated computations into coherent QM circuits. This is still within the circuit paradigm.

# What we do not have!

## An Important Point

This is not about

constructing quantum algorithms.

It is about

constructing inputs to quantum algorithms.

### An appealing viewpoint

QM algorithms work by :

- Taking as input, some *classical computation*.
- Returning as output, some *global information* about it.

# A few classic examples

- The **Deutsch-Jozsa algorithm** can distinguish a *constant* and a *balanced* **classical function**.
- **Grover's algorithm** finds the *input* to a **classical function** that leads to a distinguished *output*.
- **Quantum period-finding** computes the period of a (periodic) **classical function**.
- **Quantum counting** finds the number of inputs to a **classical function** that give a distinguished output.
- **Shor's algorithm** Applies period-finding to **modular exponentiation**.

# In every case :

The ‘classical function’ appears as a QM circuit that :

- maps basis states to basis states,
- is superposition-preserving.

Shor’s factorisation algorithm simply :

*“conjugates a coherent circuit for modular exponentiation by a circuit for the QM Fourier transform”.*

# What we have ..

We can translate

- classical (reversible) computations defined by iteration

into

- coherent quantum circuits.

This may happen **if and only if** we can set an upper limit to the number of steps before halting.

## Important

Even a single branch of a superposition that does not reach a halt state in time acts as a **poison pill** that destroys coherence for the entire computation.

# This may look familiar

## A relevant example

Any superposition including  $|8\rangle$  is likely to cause decoherence for a computation based on the original Collatz operator

$$g(n) = \begin{cases} \frac{2n}{3} & n \pmod{3} = 0 \\ \frac{4n-1}{3} & n \pmod{3} = 1 \\ \frac{4n+1}{3} & n \pmod{3} = 2 \end{cases}$$

An even richer class of examples is found in :

- J. Conway (1972) “Unpredictable Iterations”
- E. Lehtonen (2008) “Two undecidable variants of Collatz’s problem”

where we cannot even predict which inputs will cause problems.



# Not what we can, but what we cannot do

## Our ultimate objective :

To find hard problems that are *not amenable to a quantum attack*, simply because it is not possible to create a suitable coherent circuit for use with (for example) QM period-finding.

Possibly relevant – a purely algebraic study of potential arithmetic functions:

The York mathematics Semigroups Seminars

<https://www-users.york.ac.uk/~varg1/Arithmetic.pdf>